Improving Public Administration Performance through Electronic Government Applications

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Abstract: Electronic Government applications have been the focus of hundreds of local and national government administrations all over the world during the past decade. The emphasis of most of these applications lies in their effort to improve the experience of the user in interacting with public administration services and to minimise waiting times in completing transactions public services and citizens. Early applications were relying mainly on the speed and simplicity of submitting a request by the user while most of the work beyond the web based interaction was carried out manually or more precisely in a human/clerk intensive manner, as in the era before the introduction of the web based applications. The benefits from such endeavours have been short lived as citizens are looking for real enhancements in they way public administration serves their needs and responds to their requests. The authors argue that for e-government applications to succeed changes would have to be effected in the way public administration organizes itself and how it utilizes information management systems to respond to user / citizen requirements including and addressing the goals of all stakeholders involved. Currently the number of successful applications to that end is quite low when compared to the projects implemented so far. The authors propose steps that would maintain the focus of future implementations in doing so.

Key Words: Electronic Government, Public Administration, Stakeholder Goals, Performance Enhancement

1. Introduction

Electronic Government applications have been the focus of hundreds of local and national government administrations all over the world during the past decade. The emphasis of most of these applications lies in their effort to improve the experience of the user in interacting with public administration services and to minimise waiting times in completing transactions public services and citizens.

E-government can be described as an interdisciplinary domain mainly based on Information Communication Technology (ICT) and Public Administration management theory and practice. Electronic government initiatives incorporate Technologies to improve the way government serves citizens and businesses. As such technology, processes and people have to be integrated in its applications.

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Information systems often automate tasks, previously undertaken by humans in an organization, while at the same time removing tasks that are found to be redundant from the organizational point of
view or creating new simpler ones. Consequently, in most cases, information systems development and business process reengineering are considered as two views of the same activity that need to be reconciled [6]. The above is particularly true in electronic government development where implementations should aim at reducing wasteful activities by automating fully structured jobs that can be fully described and specified.

The authors argue that for e-government applications to succeed changes would have to be effected in the way public administration organizes itself and how it utilizes information management systems to respond to user / citizen requirements. This paper reviews case studies where e-government has contributed in changing the way public administration serves citizens, while it discusses the approaches followed in building successful e-government systems that embrace the above philosophy.

2. E-Government Implementations and Efficiency Gains

Most organizations that have implemented or are currently implementing e-government systems are following models that propose starting off with the user / citizen interaction part of the implementation. In doing so public organizations aim at making the applications attractive to the public hoping to induce them in using the system frequently [10,11]. This might make the application appear successful initially, but the authors question the long term value of such a system. Research of e-government applications across the European Union alone shows that a large number of such applications fail quite early as the organizations that have developed them loose interest in continuing to supporting them utilising resources without getting any real value in return. Most of such applications end up being semi-abandoned information posting spots with sometimes dated information on them [4]. The authors argue the case of every e-government application needing not only to address the interaction with the user / citizen, but to ensure that the systems developed improve the functions, efficiency and performance of the organization that offers the services. In short the organizations need to focus on developing both the front-end as well as the back-office applications if they aim at improving the efficiency and performance of public administration functions and facilities.

The use of decision support and knowledge management systems interacting and exchanging information with web based systems can greatly simplify the process of serving the citizen in structured and semi-structured cases of decision making in delivering a service. Speed, accuracy, consistency and integrity of the transactions can be improved to lead to achieving very high levels of efficiency of offering government services online [12].

Currently the number of successful applications to that end is quite low when compared to the projects implemented so far. The authors propose steps that would maintain the focus of future implementations in doing so. Looking at successful implementation cases and focusing on all stakeholders when planning and designing e-government implementations is a key issue for every country and every public service aiming to achieve value adding e-services. Such services should yield value for both the developers / owners of the service and the users / citizens that would utilize it. Both public administration and the public could have both tangible and intangible benefits to draw upon. Within the context of this work we deal with tangible benefits only and these could be classed as:

a) Savings in time – reduced turn around time for completing a transaction (public administration and the public)

b) Savings in cost – due to the simplification of the process in back office operations (public administration)
c) Savings in cost – due to reduced need for travelling (the public) and elimination of creation and duplication of paperwork (public administration and the public)

3. Successful Cases in E-Efficiency

Despite the negative tone of the earlier sections of this paper, there are some excellent examples of good practice of e-government applications that have delivered and continue in doing so great value to both the public administration and the citizens. In this section the authors briefly outline the key features of each of them.

Gascó and Jiménez [5] discuss the case of the Barcelona City Council. There the existence of a political drive aimed at both the improvement of services and communication to citizens and the attainment of efficiencies and cost savings in the business processes has led to the successful implementation of e-government services that have in turn led to the transformation of the way local government operates and interacts with the public. This transformation was achieved through using e-government design to reconstruct the internal format and the mode of operation of the Barcelona council, by designing totally new services based on the capabilities that ICT could offer. Administrative reconstruction, Informational accountability, Influence and leadership of Barcelona City Council were the key driving factors that resulted in the improvement of services and communication to citizens and the attainment of efficiencies and cost savings in the business processes.

E-Government adoption in the Barcelona City Council has taken place in waves, conditioned by the characteristics and availability of the technology itself but, chiefly, due to strategic priorities and political leadership. It is that strong leadership that empowered the designers to focus on strategic stakeholders and to provide an enabling administrative infrastructure that has acted as a very powerful change management agent.

In a similar case, driven by political will to improve services, achieve savings in resource utilization and promote sustainability, the London Borough of Havering have implemented e-services that allow their staff to improve the rate of maintenance of buildings and facilities by improving their planning and avoiding duplication of effort and wastage of resources. This has resulted in considerable reduction in the maintenance backlog having achieved a reduction of just over 50% during the past three year [9].

In a rather different case the approach to e-government development followed its own distinct pathway with equally strong and beneficial results for all involved. Historically the citizens of Dubai would access services by: visiting local or regional offices, paper and postal communications and telephone interactions. The transfer of a service to online delivery reduces the demand on the traditional channels and presents the opportunity for savings through reduction in the resources required to support them. Complete closure of a traditional access channel may well be contemplated. Cost benefit assessments such as these depend upon significant assumptions about the number of citizens who will move to an online service delivery channel. This was the key challenge that the Dubai Municipality was facing when they introduced their award winning e-government portal and host of e-services. The success of this particular implementation was largely based on political will by the local authorities to enhance their services through e-provision and their readiness to offer incentives to users to make the uptake attractive than enforced. Care should be taken though that such incentives are offered with the context of balancing out their costs against perceived and eventually achieved savings [3].

The above links well with the case of the UK Inland Revenue service online taxation project. Results had shown that by 2002 a 50% take up has been achieved with staff savings of 1,300 posts with current figures for usage at 70% [3]. In several cases though complete transfer of the service to an electronic based one may not be possible under existing statutory and regulatory frameworks (Notwithstanding European Directives on the validity of electronic signatures) there are still situations where the agency needs to have physical signatures or to be able to inspect physical documents. This prompts the debate about the motivation behind citizen take-up
of services and the need to create incentives for citizens to switch to online services. Studies in this area identify a variety of barriers perceived by citizens. These include lack of online experience, trust and visual appeal as well as poor information quality and the degree of stress. However, many of these barriers lead to citizens not even trying to use the service. The extended technology acceptance model highlights the importance of social influences and hence the need encourage potential users to try out the technology by creating incentives for citizens is important. However, many of these raise issues of sustainability, appropriate use of public funds and equality of opportunity where citizens cannot gain the benefit through no fault of their own [7, 8].

4. Using Stakeholders in the Design of Successful e-Government
Savvas et al[13] have classified the PAs of the fifteen older member states of the EU on the basis of vision and objectives for e-government, in two dominant trends: a) the empowerment of democracy through an open, transparent and participatory society (social state model) and b) improving on monetary performance through cuts on state expenses or on returns based on raise of competitiveness and on increasing of job offering by businesses. Citizens obtain additional gains through tax reduction (market driven model).
A different classification by Billiets et al. [2] distinguishes between normative and operational models:
1. A normative model is characterized by increased interest for legal formality. A distinctive type of law, public law, governs the functioning of the state as well as the relations between public entities and civil society. Such PAs are rule oriented mechanisms
2. —Operational " administrative systems are result-oriented mechanisms. Legal tools are not ignored, but quantitative methods based on the use of performance indicators, strategic and operational planning, cost-benefit analysis and other similar techniques, mostly borrowed from business management, are the backbone of administrative working methodologies. In both the above mentioned approaches Southern European countries like Greece, Spain, Italy, Portugal, and those of continental Europe like Belgium, France, Luxembourg, Germany, Austria are grouped together in two groups as to the first of the dominant trends identified in the author’s previous work.
Within society there are socioeconomic challenges that create needs to citizens and businesses. Such needs are usually addressed by politicians turning them into policy objectives. In response to these objectives, inputs are assigned in the form of resources which by certain processes produce/provide outputs. Under the influence of the environment these outputs produce outcomes (effects and consequences). These respond to existing socioeconomic issues or prevent new ones from arising. Public Administration in its broader sense is where governance as formed by politicians is exercised and embraces the whole cycle as pictured in figure 1 above. Public Organizations’ and public servants’ tasks are focused on meeting the above governance requirements in the most efficient manner possible. To achieve such efficiency targets all stakeholders involved in delivering and receiving the outcome of a service have to be considered before this is restructured and delivered as an online service.

4.1 e-Gov stakeholders’ dependencies
In Figure 1, stakeholders in e-Government life cycle are considered. Both national and supranational authorities are included, with national government goals relating to e-government or public administration modernization and the EU goals to a unified European aspect. In public administration, goals of public organizations (public administration entities) and goals of public servants coexist as entities of an administrative universe of discourse. Society includes goals from citizens and businesses. Technology is regarded as a stakeholder meaning that scientists that promote research are interested in real world cases and that exploitation of contemporary and documented solutions is a guarantee of success and efficiency.

E-government is a means of adjusting governance to the current socioeconomic requirements. Doing so, the current status of laws and institutions as frameworks in which any e-government initiative should evolve are considered. Thus e-Gov is the vehicle, which starts as a vision, concept and template from the government and follows the next steps in order to be implemented (block arrows). In these steps needs and constrains are added. The direct receiver of the political-economical view is public administration. Its procedural nature and its constitutional principles filter through the political-economical vision.

Two additional filters are considered:
A) Societal. This relates to the current status of needs, wills and behaviours of social entities. Citizens and businesses add their specific needs to the template on stocks.
B) Vested technology following current trends and subject to constraints.

Clockwise, technology oriented solutions has been tested, but it is not possible for them to provide working results. For example, for interoperability issues there is the option of the implementation of governmental intranets, but to exploit full dynamics of an interoperable linkage it is better to define involved administrative units and procedures. Additionally interoperability may be restrained by security issues.

Similarly, simply following citizens’ and businesses’ wills is unwise since P.A also defends constitutional principles and legitimacy that lie beyond these wills. The state serves citizens and businesses through public administration and receives their messages for readjustment politics through various channels. Society cannot directly affect procedures that public administration follows. This is the P.A.s’ managers and executives’ privilege

4.2 Stakeholders’ goals
The following stakeholder requirements have been deduced using formal documentation and surveys.
4.2.1 Current e-Government strategies in the EU.
Concerning vision and objectives for e-government in the EU’s fifteen older member states, the following two dominant trends have been identified [13]:

- The empowerment of democracy through an open, transparent and participatory society (social state model)
- Achieve financial benefits through cuts in state expenses or benefits based on raise of competitiveness and on increase in job offerings by businesses. Citizens obtain additional gains through tax reduction. (Market driven model)

Components of the first model are, a) participation and b) transparency, while those of the second model are identified as efficiency, effectiveness and money savings.

The Greek government like any other member state’s government belongs to this environment imitating good practices and being influenced by other members’ strategies.

4.2.2 EU goals/requirements.
E-Government is expected to help public administrations to realise good governance (‘e-governance’) in terms of an administration that is:

- Open and transparent, i.e. democratic and accountable
- Inclusive, i.e. provides services for all
- Efficient and productive, i.e. provides maximum value for taxpayers’ money.

These goals are consistent with those ones mentioned above for the governments of the EU member states. The same, to an extend stands for the new i2010 e-Government Action Plan that defines five priorities (The new European strategy for Information Society i2010):

1. No citizen left behind
2. Making efficiency and effectiveness a reality—significantly contributing to high user satisfaction, transparency and accountability.
3. Implementing high-impact key services for citizens and businesses
4. Putting key enablers in place
5. Strengthening participation and democratic decision-making

In addition the European Union focuses in three groups of issues for e-Government beyond 2005:

1. The first set of issues is about the challenge to move towards more profound modernisation of public administrations with the help of ICT, organisational change, and improvement of human resources in public administrations, in order to deliver sustainable benefits.
2. The second set of issues addresses the challenge to achieve innovation in government services and governance in order for public administrations to realise their full potential as key contributors to economic and social development. Governance meaning the rules, processes and behaviour, that affect the way public administration functions.
3. The third perspective focuses on contributing to European e Government Objectives: the emergence of pan-European e-Government solutions, contributing to a European public asset of e-Government building blocks, implementing European policies and increased cooperation at European level in order to better address e-Government at all levels.

EU goals follow those of national governments because EU guidelines are not mandatory.

4.2.3 Citizen and business goals.
Citizen and business requirements are reported in many studies held by various institutions throughout Europe. The majority of these studies are not focused on satisfaction of users but they assess people needs as a means for establishing the right level of services required to meet those
needs. Generally citizens’ requirements are taken into consideration when they are not contradictory with the ones for European citizens. They possibly represent additional functional and technical requirements that have to be taken into consideration.

4.2.4 Technology goals.
Technology requirements result both from technological evolution and evolution on project management and managerial decisions, related to the use of applicable and sustainable systems. They also refer to cost evaluation and other economical matters. They are also led by scientific and technological progress as identified in best practices cases.

Electronic government is far more than a lofty, idealistic notion. Nearly every country in the world—from the poorest to the richest—has considered and most have implemented some form of it, and the extensive literature on the subject continues to grow. Considerable evidence suggests that even the most technologically advanced countries aren’t getting the full return yet for their e-government investments with poorer nations fare even worse [10,11]. This is one of the key reasons that e-government implementations need to intensify their focus on applications that reach beyond the plain information dissemination stage.

This paper argues that to get the full return of the e-government investment it is critical to consider all stakeholders and their goals. Satisfying these goals is a sine qua non condition for a project’s or an initiative’s success. The ability of mapping these goals to stakeholders’ goals reveals proper or insufficient provisions, allowing revisions in the early design stages.

A service needs information for a certain goal. This goal is usually placed in the external environment of the organization.

Mapping system’s goals to stakeholders’ ones could be made through wider goals/strategic objectives like the ones below. These are not formally marked in the diagrams:

National interoperability standards, exploitation of ICT in promotion of information, access to Internet, simplification of administrative procedures that leads to reduction of administrative cost for businesses therefore to a reduced production cost and raise of competitiveness (Government). Access to governmental sites and to their content (EU)

Collaboration between public organizations should lead to the dissemination of such good practices in all regions of public administration and other public organizations that conform to the development law.

5. Conclusions
Despite the widening participation in e-government projects and implementations, the return from such investments is still quite low even in the most advanced countries in the developed world. To improve the efficiency of such applications, e-government services have to take into consideration all stakeholders’ needs at the design stage. In doing so the needs of the citizens as the recipients of the service will be met both directly through savings in time and direct costs, but also indirectly through improved efficiency at the organization delivering the service. This improved efficiency will result in the organization achieving better performance management, saving resources to redirect to other services that might require more and satisfy the need for more sustainable services within the demands for more efficient and accountable governance.

References


